



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cheryl Lanier

Serial No.: 09/473,853

Filed: December 27, 1999

For: SYSTEM AND METHOD FOR UTILIZING AN EXCLUSION LIST  
DATABASE FOR CASINOS

Attorney Docket No.: FDC 0143 PUS

Group Art Unit: 2768

Examiner: Unknown

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JAN 23 2001  
Technology Center 2100

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Applicants respectfully request issuance of a Corrected Filing Receipt for the above-identified application. Upon Applicants' review of the Official Filing Receipt, an error has been discovered with respect to the following data:

Filing Date: "12/27/1999" should be --12/29/1999--.

A copy of the Official Filing Receipt with the changes noted in red thereon is enclosed. A copy of the Utility Patent Application Transmittal is enclosed as proof of the

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231:

September 25, 2000  
Date of Deposit

Mark E. Stuenkel  
Name of Person Signing

  
Signature

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OIE/CAC/MS

S/N: 09/473,853



correct filing date.

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JAN 25 2001  
Technology Center 2100

Atty Dkt No. FDC 0143 PUS

If there are any questions concerning this communication, please feel free to contact the undersigned.

Respectfully submitted,

**CHERYL LANIER**

By   
Mark E. Stuenkel  
Reg. No. 44,364  
Attorney for Applicants

Date: September 25, 2000

**BROOKS & KUSHMAN P.C.**  
1000 Town Center, 22<sup>nd</sup> Floor  
Southfield, MI 48075  
Phone: (248) 358-4400  
Fax: (248) 358-3351



## UTILITY PATENT APPLICATION TRANSMITTAL

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Address to: <b>Box PATENT APPLICATION Assistant Commissioner for Patents Washington, DC 20231</b>	Attorney Docket No. <b>FDC 0143 PUS</b>
Inventor(s) or Application Identifier: <b>Cheryl Lanier et al.</b>	

1. This application entitled A SYSTEM AND METHOD FOR UTILIZING AN EXCLUSION LIST DATABASE FOR CASINOS is:

a.  A new application under 37 C.F.R. §1.53(b).

b.  A  continuation  divisional or  continuation-in-part application under 37 C.F.R. § 1.53(b) of prior application Serial No.       /       filed on       , entitled       .

Application elements and other attached papers:

2.  Specification (incl. Claims and Abstract) [Total Pages 12 ]

3.  Drawings (       informal 1 formal) [Total Sheets 1 ]

4.  Oath or Declaration

    a.  Newly-executed

    b.  Copy from a prior application (37 C.F.R. § 1.63(d))

5.  Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6.  This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).

    a.  **DELETE** the following inventor(s) named in the prior nonprovisional application:  
                        

    b.  The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

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CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this UTILITY PATENT APPLICATION TRANSMITTAL and the documents referred to as attached therein are being deposited on the below date with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Express  
Mail Label No. EL 450 454 040 US

Angelika Phillips  
(Type or print name of person mailing paper)  
Angelika Phillips  
(Signature of person mailing paper)

Date of Deposit: December 29, 1999



## 7. Preliminary Amendment:

- a.  A Preliminary Amendment is attached.
- b.  Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee.
- c.  Please amend the specification by inserting before the first line the sentence:  
"This is a  
     continuation  
     divisional  
of copending application(s)  
     Serial number \_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_."
- d.  A Petition to Suspend Prosecution For The Time Necessary to File An Amendment (New Application Filed Concurrently) is attached.

## 8. Small entity status:

- a.  A small entity statement is attached.
- b.  A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c.  Is no longer desired.

## 9. Fee Calculation:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS (37 C.F.R. § 1.16(c))	12 -20 =	--	X 18.00	--
INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	3 -3 =	--	X 78.00	--
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. § 1.16(d))			260.00	--
			BASIC FEE (37 C.F.R. § 1.16(a))	690.00
		Total of above Calculations =		690.00
Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				--
	Assignment Recordal Fee	40.00		--
			TOTAL =	690.00

10.  A check in the amount of \$ 690.00 is enclosed.

11.  The Commissioner is hereby authorized to credit overpayments or charge the following fees (or any deficiency therein) to Deposit Account No. 02-3978:

- a.  Fees required under 37 C.F.R. § 1.16.
- b.  Fees required under 37 C.F.R. § 1.17.

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12. Maintenance of Copendency of Prior Application

A request for extension of time and the appropriate fee have been filed in the pending **prior** application (or are being filed in the prior application concurrently herewith) to extend the period for response until \_\_\_\_\_.

13.  An Information Disclosure Statement (IDS) is attached, along with the following indicated attachments thereto:

a.  Form PTO/SB/08 ( \_\_\_\_\_ sheet(s))

b.  Copies of references cited

14.  Certified copy of priority document(s)

15.  Return Receipt Postcard

16.  Other: \_\_\_\_\_

17.  An Assignment of the invention to \_\_\_\_\_

a.  is attached.

b.  was recorded on \_\_\_\_\_ at Reel \_\_\_\_\_, Frame \_\_\_\_\_.

18. The power of attorney in the prior application is to:

Name of Attorney of Record

Reg. No.

The power appears in the original papers in the prior application.

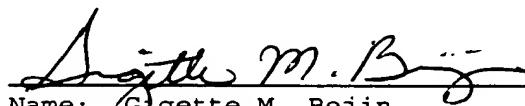
The power does not appear in the original papers, but was filed on \_\_\_\_\_.

A new power has been executed and is attached.

19. Correspondence Address: Please address all future communications to:

Gigette M. Bejin  
Brooks & Kushman P.C.,  
1000 Town Center, 22nd Fl.  
Southfield, MI 48075-1351  
Telephone: 248-358-4400; Fax: 248-358-3351

Respectfully submitted,

  
Name: Gigette M. Bejin  
Registration No.: 44,027

Date December 27, 1999

Attorney or agent of record  
 Filed under Rule 34(a)

## FILING RECEIPT



\*OC00000005056960\*

SEP 29 2000

TELETYPE  
DEPARTMENT OF COMMERCE  
605  
TRADEMARK OFFICEUNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/473,853	12/27/1999 12/29/1999	2768	890	FDC-0143-PUS	1	12	3

GIGETTE M BEJIN  
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1000 TOWN CENTER  
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Technology Center 2100

Date Mailed: 04/17/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

CHERYL LANIER, RICHMOND, TX ;  
LARRY P. DAVIS, POURTSOMUTH, RI ;  
JAMES P. SULLIVAN, MT. PROSPECT, IL ;  
MELANIE SCHMUTZ-NUGENT, HUMBLE, TX ;



## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/05/2000

\*\*

## Title

SYSTEM AND METHOD FOR UTILIZING AN EXCLUSION LIST DATABASE FOR CASINOS

## Preliminary Class

705

Data entry by : HAYWOOD, CHERRIE

Team : OIPE

Date: 04/17/2000



# FILE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

#5

SERIAL NUMBER 09/473,853	FILING DATE 12/29/1999 RULE _____	CLASS 705	GROUP ART UNIT 2768	ATTORNEY DOCKET NO. FDC-0143-PUS
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**APPLICANTS**

CHERYL LANIER, RICHMOND, TX ;  
 LARRY P. DAVIS, POURTSOMOUTH, RI ;  
 JAMES P. SULLIVAN, MT. PROSPECT, IL ;  
 MELANIE SCHMUTZ-NUGENT, HUMBLE, TX ;

**\*\* CONTINUING DATA \*\*\*\*\***

} Verified  
CRK  
1/25/01

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 02/05/2000**

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after	Allowance	Initials
				STATE OR COUNTRY TX	SHEETS DRAWING 1
				TOTAL CLAIMS 12	INDEPENDENT CLAIMS 3

**ADDRESS**

GIGETTE M BEJIN  
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 1000 TOWN CENTER  
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**TITLE**

SYSTEM AND METHOD FOR UTILIZING AN EXCLUSION LIST DATABASE FOR CASINOS

FILING FEE RECEIVED 890	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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